

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Pierre Hilaire)	Examiner:	Mendez, Manuel A.
Serial No.:	09/405,946)	Group Art Unit:	3763
Filed:	09/27/1999)	Date:	May 23, 2012
For:	VALVED CONNECTOR WITH CLOSURE OPERATED BY AXIAL MOVEMENT OF THE VALVE)		

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicant is requesting a refund of fees in the above-referenced application. A 3.5 year maintenance fee payment was due on February 27, 2012 for a small entity status. A copy of the Small Entity Statement filed on December 14, 1999 is enclosed for your review. The Applicant paid the 3.5 year maintenance fee by mail on February 27, 2012 along with the Petition for Extension of Time for 3 months claiming small entity status, but the USPTO charged the Applicant as a large entity not small. Therefore, the fees due should be as follows:

Fees Paid:

Credit Card Form submitted 3/5/2012	\$640.00
(For 3.5 year maintenance fee and 3 month extension of time)	
Withdrawal from Deposit Account	<u>\$640.00</u>
Total	\$1,280.00

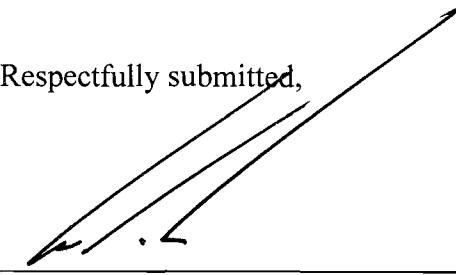
Total fees Due for Small Entity:

3.5 Year Maintenance fee and 3 month extension of time	\$640.00
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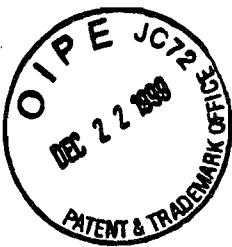
Therefore, Applicant respectfully requests that the overpayment of **\$640.00** be credited to our deposit account number 502776 under Docket No. 402-002U.

If there are any questions regarding this matter, please contact me at the number listed below.

Respectfully submitted,



GREGORY SCOTT SMITH
Reg. No. 38,309
GSS Law Group
3900 Newpark Mall Rd., #317
Newark, CA 94560
(510) 742-7417



SEC 10 BY

IN THE U.S. PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ART9901
Appn. Number: 09/405,946
Filing Date: 09/27/99
First Applicant: Pierre Hilaire
Appn. Title: **VALVED CONNECTOR WITH CLOSURE OPERATED BY AXIAL MOVEMENT OF THE VALVE**
Examiner: GAU: 3734

COPY

Mailed December 14, 1999
at Sunnyvale, CA

TRANSMITTAL LETTER

Commissioner of Patents and Trademarks
Washington, District of Columbia 20231

Sir:

Please file the following papers related to the above identified patent application:

- Declaration (2 pages)
- Small Entity Declaration-Inventor
- Small Entity Declaration-Small Business Concern
- Copy of Notice to File Missing Parts
- Check # 1864 for \$445 for small entity basic filing fee and the surcharge
- Return Receipt Postcard

Very respectfully,

Carol D. Titus
505 W. Olive Ave., Suite 330
Sunnyvale, CA 94086

Registration Number: 38,436
phone (408) 732-7737
fax (408) 732-7876

CERTIFICATE OF MAILING

I hereby certify that this document addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 will be deposited with the U.S. Postal Service as first class mail with sufficient postage at Sunnyvale on 12-14-99.
(Post Office) (Date)

Signature

Carol D. Titus

#:

IN THE U.S. PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ART9901
Appn. Number: 09/405,946

Filing Date: 09/27/99

First Applicant: Pierre Hilaire

Appn. Title: **VALVED CONNECTOR WITH CLOSURE OPERATED
BY AXIAL MOVEMENT OF THE VALVE**

Examiner: GAU: 3734



COPY

Small Entity Declaration - Inventor

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in Title 37, Code of Federal Regulations, Section 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed - and am under no obligation under any contract or law to assign, grant, convey or license - any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed - or am obligated under any contract or law to assign, grant, convey or license - any rights in the invention, is listed below:

Name ARTHESYS
Address 20 rue Traversiere 92230 Gennevilliers FRANCE

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful statements may jeopardize the validity of the application or any patent issued thereon, or any patent to which this verified statement is directed.


Pierre Hilaire
Signature of First Applicant
Date: November 8th 1999